# **Public Service Grievance Board**

# **ANNUAL REPORT**

2019-2020

## MESSAGE FROM THE CHAIR

I am pleased to present the Annual Report for the Public Service Grievance Board, which tracks our progress during the fiscal year 2019-2020 in fulfilling our mandate to provide independent third-party dispute resolution for non-unionized public servants. The Board has continued to carry out its mandate with skill, integrity and impartiality, in furtherance of the Board's consistent goal of encouraging harmonious workplace relations within the Ontario Public Service. I can report that the Board achieved this goal through the combined efforts of the Board's very capable members and staff.

The Board is currently composed of its Chair, Kathleen O'Neil, and four members, all serving on a part-time basis. During this fiscal year, we wished Reva Devins and Brendan Morgan well as they left the Board, and have since welcomed Allan Ponak as a new member to join Marilyn Nairn and Andrew Tremayne as they continue on the Board. All are professional labour relations adjudicators who draw on their extensive experience in the broader labour relations community to serve the Ontario Public Service. Their skills, experience, and professionalism are at the core of the effectiveness of the Board as an independent dispute resolution agency.

The Board's administrative staff continues to be led by Caroline Goodwin, the Board's Secretary. As a part-time Chair, I remain very much in her debt, and in the debt of her capable staff, for the impressive efficiency with which the Board is run. Their work - scheduling, tracking, communicating with and advising our clientele, internal and external - is essential to fulfilling the Board's responsibility to provide the highest quality dispute resolution services, and to be administratively accountable within the larger structure of government. Through their efforts, the Board has been able to meet its administrative responsibilities in a timely, responsible and cost-effective manner.

Kathleen G. O'Neil

Chair, Public Service Grievance Board

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## 1. PSGB Mandate

The Public Service Grievance Board (PSGB or the Board) is an independent adjudicative tribunal that exercises the powers and duties conferred upon it by the Public Service of Ontario Act, 2006, and O. Reg. 378/07. The PSGB is classed as an adjudicative agency accountable to the legislature through the Minister of Labour, Training and Skills Development. The overall objective of the Board is to provide dispute resolution services between certain management/excluded crown employees and the government as their employer.

The adjudicative independence and neutrality of the PSGB is preserved by its physical location - it is separated from government agencies other than its co-tribunal, the Grievance Settlement Board (GSB), and by the fact that the members of the PSGB are labour relations mediators/adjudicators who are prominent and well respected in the broader labour relations community. The Board's Chair and its Members bring to it extensive experience in both mediation and adjudication. When third party intervention is needed, the Board provides mediation or, in the alternative, an expeditious process of adjudication.

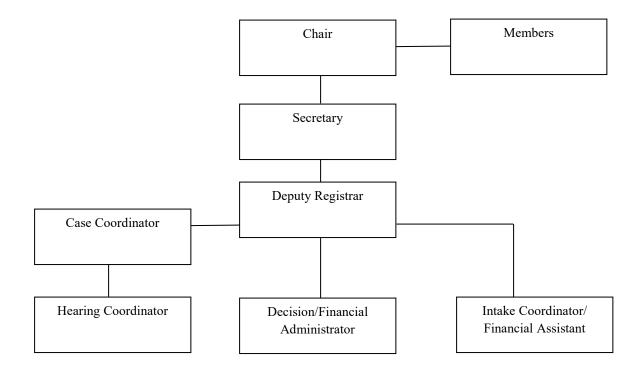
## 2. Human Resources

### The Secretary

#### Caroline Goodwin

Caroline Goodwin has over 30 years of experience as an administrator in the labour relations field, commencing her OPS career with the Grievance Settlement Board. During her career, she gained broad experience through secondments with the Ministry of Labour, Finance and Administration Branch, the Human Rights Tribunal of Ontario, and the Pay Equity Hearings Tribunal. She has served as the Board's secretary since 2003.

#### **PSGB Organization Chart**



# 3. Order In Council Appointments

## List of Appointees – Public Service Grievance Board

| Name of Appointee | OIC Appointment | Initial Appointment | Expiry Date of<br>Current OIC |
|-------------------|-----------------|---------------------|-------------------------------|
| Kathleen O'Neil   | Chair           | January 5, 2015     | January 4, 2021               |
| Marilyn Nairn     | Member          | January 5, 2015     | January 4, 2025               |
| Allen Ponak       | Member          | March 12, 2020      | March 11, 2022                |
| Andrew Tremayne   | Member          | September 13, 2017  | September 12, 2022            |

### Chair

#### Kathleen O'Neil

Kathleen G. O'Neil brings over thirty years of experience as an adjudicator to her position as Chair, Ontario Public Service Grievance Board. She previously served as Vice Chair of the Ontario Labour Relations Board (1988 to 1997), and of the Workers' Compensation Appeals Tribunal (1986 to 1988). Since 1997, she has been active in her private practice as a mediator and arbitrator dealing with grievances under Ontario and federal labour statutes, as well as a mediator in a wide variety of matters including human rights, employment related disputes, and general civil litigation. Admitted to the Bar of Ontario in 1979, she holds a B.A. from the University of Toronto and an LL.B. (now J.D.) from Osgoode Hall Law School.

### **Members**

### Marilyn Nairn

Originally from Winnipeg, Marilyn Nairn is a graduate of the University of Ottawa Law School and was called to the Ontario bar in 1982. She practiced labour law in Toronto until 1987 when she accepted the position of counsel to the Ontario Labour Relations Board. In 1989 Ms. Nairn was appointed a Vice-Chair of the OLRB, where she served for three terms, following which she expanded her successful practice as labour arbitrator and mediator. Ms. Nairn is a member of the National Academy of Arbitrators and the Ontario Labour-Management Arbitrators' Association and has held various positions on the executive of the Labour Section of the Ontario Bar Association. She has completed an advanced mediation course at Osgoode Hall Law School, has taught at both the college and university levels in the area of labour law, and has appeared as a speaker on various panels and at conferences in the areas of labour law and human rights. She was appointed to the Grievance Settlement Board on October 31, 2001.

#### **Allen Ponak**

Allen Ponak has been arbitrating and mediating since 1984. His practice is national in scope and he is a listed arbitrator in national and regional collective agreements including manufacturing, public service, and professional sports. Until 2006, Allen was a professor of industrial relations and the author of numerous books and articles on labour and employment. He has an undergraduate degree from McGill University, a Master's from Michigan State University, and a Ph.D. from the University of Wisconsin. In 2015-16 Allen was president of the National Academy of Arbitrators and in 2015 was the recipient of the Bora Laskin Award for his contributions to labour policy and law.

### **Andrew Tremayne**

Andrew has been a full-time arbitrator and mediator since 2014. Before that, he practiced labour and employment law in Ottawa for over 20 years. Andrew is on the Ontario Minister of Labour's list of approved grievance arbitrators (the "section 49 list") and the Federal Minister of Labour's list of eligible grievance and unjust dismissal adjudicators, and he regularly mediates and investigates workplace complaints and disputes of all kinds. He is also the Integrity Commissioner for the Township of Edwardsburgh/Cardinal and the Town of Prescott on an as needed basis. Andrew received his J.D. from U of T Law School in 1991, and he is a member of the Law Society of Upper Canada, the Canadian Bar Association, the Council of Canadian Administrative Tribunals, and the Alternative Dispute Resolution Institute of Ontario.

## 4. Overview of Activities

### **PSGB Mission Statement**

To provide appropriate dispute resolution services to management/excluded employees and their employers in a fair, impartial and expeditious manner and promote harmonious labour relations in the Ontario Public Service.

## Overview of Programs and Activities

By statute, the PSGB is comprised of a minimum of a part-time Chair and two part-time Members. Members of the PSGB bring to it extensive experience as both labour mediators and arbitrators.

The administrative functions of the PSGB are performed by a full-time administrative staff under the direction of the Board's Secretary. For those who use the PSGB's dispute resolution services, the first point of contact is with its administrative staff.

Once a complaint has been filed with the PSGB, mediation is usually the first step in the Board's dispute resolution process. A confidential mediation session provides the opportunity for complainants and their employer to resolve complaints in an expeditious and informal manner. A large proportion of complaints are now successfully resolved through the PSGB's mediation process conducted by one of the Board's members (Chair or Member).

If a complaint cannot be resolved through mediation, it is then scheduled for a full adjudicative hearing. At these hearings, evidence and arguments are presented in a more formal manner. A member of the PSGB (Chair or Member) will preside over the hearing, deal with procedural and evidentiary issues, listen to the evidence and argument, and then prepare a written decision. In more complicated cases the hearing could take longer than one day.

Whether mediation or adjudication is used, it is always the primary concern of the PSGB that complaints be resolved in a fair, impartial, and expeditious manner.

# 5. PSGB Applications Filed By Fiscal

| TOTAL # PSGB<br>FILES FOR<br>FISCAL<br>2016-2017 | % of change<br>over previous<br>fiscal | TOTAL # PSGB<br>FILES FOR<br>FISCAL<br>2017-2018 | % of change over previous fiscal | TOTAL # PSGB<br>FILES FOR<br>FISCAL<br>2018-2019 | % of change over previous fiscal | TOTAL # PSGB<br>FILES FOR<br>FISCAL<br>2019-2020 | % of change<br>over previous<br>fiscal |
|--|--|--|----------------------------------|--|----------------------------------|--|--|
| APRIL: 9   | 200% increase                          | APRIL: 3   | 67% decrease                     | APRIL: 2   | 33% decrease                     | APRIL: 2   | 0% decrease                            |
| MAY: 4   | 64% decrease                           | MAY: 2   | 50% decrease                     | MAY: 3   | 50% increase                     | MAY: 10  | 233% increase                          |
| JUNE: 21   | 250% increase                          | JUNE: 4  | 81% decrease                     | JUNE: 4  | 0% decrease                      | JUNE: 2  | 50% decrease                           |
| JULY: 3  | 57% decrease                           | JULY: 6  | 100% increase                    | JULY: 2  | 67% decrease                     | JULY: 5  | 150% increase                          |
| AUGUST: 4  | 300% increase                          | AUGUST: 10                                       | 150% increase                    | AUGUST: 6  | 40% decrease                     | AUGUST: 5  | 17% decrease                           |
| SEPTEMBER: 3                                     | 0% decrease                            | SEPTEMBER: 2                                     | 33% decrease                     | SEPTEMBER: 4                                     | 100% increase                    | SEPTEMBER: 3                                     | 25% decrease                           |
| OCTOBER: 1                                       | 95% decrease                           | OCTOBER: 8                                       | 700% increase                    | OCTOBER: 6                                       | 25% decrease                     | OCTOBER: 4                                       | 33% decrease                           |
| NOVEMBER: 1                                      | 83% decrease                           | NOVEMBER: 5                                      | 400% increase                    | NOVEMBER: 4                                      | 20% decrease                     | NOVEMBER: 4                                      | 0% decrease                            |
| DECEMBER: 1                                      | 90% decrease                           | DECEMBER: 2                                      | 100% increase                    | DECEMBER: 5                                      | 150% increase                    | DECEMBER: 11                                     | 120% increase                          |
| JANUARY: 5                                       | 67% increase                           | JANUARY: 13                                      | 160% increase                    | JANUARY: 4                                       | 69% decrease                     | JANUARY: 8                                       | 100% increase                          |
| FEBRUARY: 3                                      | 0% decrease                            | FEBRUARY: 7                                      | 133% increase                    | FEBRUARY: 1                                      | 86% decrease                     | FEBRUARY: 1                                      | 0% decrease                            |
| MARCH: 4   | 33% decrease                           | MARCH: 12  | 200% increase                    | MARCH: 5   | 58% decrease                     | MARCH: 2   | 60% decrease                           |
| TOTAL: 59  | 24% decrease                           | TOTAL: 74  | 25% Increase                     | TOTAL: 46  | 38% decrease                     | TOTAL: 57  | 24% increase                           |
| Average New Files<br>Per Month: 5                |  | Average New<br>Files Per Month: 6                |                                  | Average New<br>Files Per Month: 4                |                                  | Average New<br>Files Per Month:<br>5             |  |

# 6. PSGB Caseload 2019-2020

| PSGB Caseload                                   |     |  |
|---|-----|--|
| Active Cases as at March 31, 2019               | 69  |  |
| Cases filed in fiscal 2019/2020 *Feb.28.2020    | 57  |  |
| Cases re-opened/input in Case Management System | 0   |  |
| Total Active Cases                              | 126 |  |
| Cases Disposed of:                              |     |  |
| By Decision                                     | 15  |  |
| Administrative Decisions without Hearing        | 1   |  |
| Settled   | 26  |  |
| Withdrawn                                       | 11  |  |
| Duplicate File                                  | 0   |  |
| Total Cases Disposed                            | 53  |  |
| Active Cases at February 28, 2020*              | 73  |  |
| * Active Inventory as at February 28, 2020      |     |  |
| To Be Scheduled                                 | 17  |  |
| Scheduled Continuation                          | 24  |  |
| Scheduled                                       | 25  |  |
| Decision Pending                                | 2   |  |
| Settlement Pending                              | 0   |  |
| Adjourned Sine Die                              | 5   |  |
| Total   | 73  |  |

## 7. Financial Information

The Public Service Grievance Board receives its funds through an allocation from the Grievance Settlement Board, which is funded as a budget item of the Ministry of Labour, Training and Skills Development. Expenditures made on behalf of the PSGB are recovered from the Employer and refunded to the Grievance Settlement Board.

The PSGB charges the Employer a \$300 filing fee for each complaint filed at the Board along with the Chair's per diem, administrative, travel costs and hearing rooms booked at the board.

Note: The attributable costs reflect those costs incurred by the Employer that are directly related to the hearing of a particular case such as member per diems, out of town hearing rooms and member travel costs. \*Filing fees may not reflect applications filed after fiscal cut off date

| Public Service Grievance Bo  | ard          |              |              |              |
|--|--------------|--------------|--------------|--------------|
|  | 2016-17      | 2017-18      | 2018-19      | 2019-20      |
| Chair Remuneration   | \$63,286.00  | \$63,744.80  | \$66,402.00  | \$66,192.00  |
| Chair Administrative and Travel Costs                                  | \$0.00       | \$0.00       | \$0.00       | \$0.00       |
| Member Admin./Meetings/Conference Cost /Board Administrative Costs     | \$362.98     | \$1,343.27   | \$3,545.32   | \$558.17     |
| Member Travel Costs Board Conference                                   | \$0.00       | \$684.08     | \$361.50     | \$0.00       |
| Subtotal of Chair/Member Costs   | \$63,648.98  | \$65,772.15  | \$70,308.82  | \$66,750.17  |
| Administration Fees:   |              |              |              |              |
| Total Applications filed   | 57           | 73           | 46           | 57           |
| New Application Filing Fee (\$300 per Application)                     | \$17,100.00  | \$21,900.00  | \$14,100.00* | \$17,100.00* |
| Hearing Costs:   |              |              |              |              |
| All Hearing Related Costs [including Remuneration and Travel Expenses] | \$53,595.83  | \$86,736.18  | \$155,025.35 | \$117,211.48 |
| Hearing Rooms Fees at the Board  | \$11,800.00  | \$14,600.00  | \$20,200.00  | \$16,800.00  |
| Subtotal of Hearing Costs  | \$65,395.83  | \$101,336.18 | \$175,225.35 | \$134,011.48 |
| Member Remuneration  | \$48,732.00  | \$75,770.00  | \$141,554.84 | \$166,811.46 |
| Member Remuneration Breakdown  |              |              |              |              |
| R. Devins  |              |              |              | \$37,824.00  |
| B. Morgan  |              |              |              | \$12,757.45  |
| M. Nairn   |              |              |              | \$13,396.00  |
| A. Tremayne  |              |              |              | \$36,642.01  |
| Chair, K. O'Neil   |              |              |              | \$66,192.00  |
| TOTAL COSTS  | \$146,144.81 | \$189,008.33 | \$259,634.17 | \$218,461.65 |

# 8. Performance Measures

| Measure  | 2019-2020 Commitments  | 2019-20 Achievements  |
|--|--|---|
| Elapsed time to acknowledge receipt of complaint                                       | 100% of complaints received to be acknowledged within 30 days.   | 100% of complaints received were acknowledged within 30 days.   |
| Elapsed time from receipt of Application Form to offering dates                        | 100% of complaints will be offered dates for scheduling within 30 days of receipt of Application Form. | 100% of complaints were offered dates for scheduling within 30 days of receipt of Application Form.   |
| Percent of complaints disposed of by settlement, withdrawal or administrative decision | 50% of complaints disposed of by settlement, withdrawal or administrative decision                     | 70% of complaints disposed of by administrative decision, withdrawal or settlement.   |
| Timeliness of decisions released by the PSGB   | 80% of decisions to be released within 90 days of completion of hearing and/or receipt of submissions. | 55% of decisions were released within 90 days. The Board released 20 decisions with 11 meeting the commitment of a 90 day release and 9 fell outside the 90 day commitment. |
| Percent of judicial reviews upheld   | 100% of decisions upheld on judicial review (i.e. application for judicial review dismissed)           | No matters judicially reviewed.   |